

9th Meeting of APES 230 Financial Planning Services Taskforce

Meeting Agenda

3rd of November 2011

2.30 pm	1	Present and Apologies	All
2.35 pm	2	Minutes of Previous Meeting	CW
2.40 pm	3	Revised APES 230 ED Discussion <ul style="list-style-type: none"> • Operative Date; • Definitions – in particular: Financial Planning Advice, Financial Planning Services, Client/Retail Client options, Commissions, Legacy Product and Legacy Clients; • Fiduciary responsibilities – Paragraphs 3.6 and 3.7; • The basis of preparing and reporting – Paragraphs 6.1, 6.4 and 6.9; • Paragraph 8 Professional Fees; • Member in Public Practice and Member in Business • Paragraph 9 Soft Dollar Benefits; and • Transitional Provisions 	All
3.55 pm	4	Way forward	CW
4.00 pm	5	Close of meeting	