

Not Supportive of key elements

Revised No.	Supportive / Supportive of some key elements / Not Supportive of key elements/Other	Respondent	Respondents' Comments on Specific Provisions of APES 230											CASE STUDIES EXAMPLES	1. General Comments	2. Align with FoFA reforms and other legislative safeguards/client's best interest	2. Affordability of Financial Advice	APES 230 Remuneration			6. Retrospectivity/grandfathering/transitional arrangements	7. Competitive Adv/Disadv	7. Refusal of membership renewal with professional bodies	8. Soft dollar benefits	9. Practical matters such as rebating commissions, dealer group issues, lack of support from Professional Bodies, etc	10. Business structures, Monitoring and enforcement	11. Editorial suggestions		
			1. Scope and application	2. Definitions	3. Fundamental responsibilities	4. Professional Independence	5. Terms of FPS	6. Basis for preparing and reporting	7. Client's Information, money and property	8. Professional fees	9. Third party payments	10. Documentation	11. Transitional Prov					3. Financial Advice	4. Insurance	5. Mortgage Broking									
121	Not Supportive of key elements	360 Financial Group												√	√	√	√	×	×	×			√			√			
18	Not Supportive of key elements	Account Invest Pty Ltd													√	√	√	√	×	×	×			√			√		
57	Not Supportive of key elements	Accounting & You Financial Services Pty Ltd													√	√	√	√	×	×	-			√			√		
54	Not Supportive of key elements	Advantage Financial Services - G. Gillogly													√	√	√	√	×	×	×			√			√		
100	Not Supportive of key elements	Advantage Financial Services - N. Atkins													√	√	√	√	×	×	×			√			√		
144	Not Supportive of key elements	APL Financial Services													√	√	√	√	×	×	-			√			√		
161	Not Supportive of key elements	APPC	√	√											√	√	√	√	×	×	×	√						√	
137	Not Supportive of key elements	Association of Financial Advisers Ltd		√				√	√						√	√	√	√	×	×	×	√		√		√		√	
64	Not Supportive of key elements	Batterham & Associates Pty Ltd													√	√	√	√	-	×	-			√	√				
22	Not Supportive of key elements	Beattie Financial Services													√	√	√	√	×	×	-			√					
70	Not Supportive of key elements	Bentleys (WA) Pty Ltd													√	√	√	√	×	×	×			√	√				
59	Not Supportive of key elements	Black & Krantz												√	√	√	√	√	×	×	×	√		√			√		
133	Not Supportive of key elements	Bob Reus & Co												√	√	√	√	√	×	×	×			√	√		√		
129	Not Supportive of key elements	Bongiorno & Partners (Vic) Pty Ltd & Lonsdale Financial Group Ltd			√									√	√	√	√	√	×	×	×	√		√	√		√	√	√
27	Not Supportive of key elements	Brookes Deane & Powne													√	√	√	√	×	×	×			√			√		
157	Not Supportive of key elements	BT Financial Group*													√	√	√	√	-	×	-								
156	Not Supportive of key elements	BT Select													√	√	√	√	×	×	×	√		√	√	√	√	√	√
143	Not Supportive of key elements	Build a Brilliant Business Pty Ltd												√	√	√	√	√	×	×	×			√			√		
140	Not Supportive of key elements	Burford Partners													√	√	√	√	×	×	×			√			√		
65	Not Supportive of key elements	Business Concepts Group Pty Ltd												√	√	√	√	√	×	×	×	√		√	√		√		
106	Not Supportive of key elements	CABEL Financial													√	√	√	√	-	×	×	√					√		
160	Not Supportive of key elements	Cantwell Financial Independence Pty Ltd - M. Slocombe													√	√	√	√	×	×	×			√			√		
149	Not Supportive of key elements	Cantwell Financial Independence Pty Ltd - P. Hendy													√	√	√	√	×	×	×			√			√		
108	Not Supportive of key elements	Carthills Financial Services Pty Ltd													√	√	√	√	×	×	×	√					√		
107	Not Supportive of key elements	CBD Profit Solutions Pty Ltd - L. Powell*													√	√	√	√	×	×	×			√	√			√	
58	Not Supportive of key elements	CBD Profit Solutions Pty Ltd - T. Broadbent*													√	√	√	√	×	×	×	√		√	√		√	√	
135	Not Supportive of key elements	CDB Wealth Strategies Pty Ltd													√	√	√	√	×	×	×	√		√					
17	Not Supportive of key elements	Ceebeks Business Solutions													√	√	√	√	×	×	×	√		√			√		
127	Not Supportive of key elements	CFOutsource Pty Ltd													√	√	√	√	-	-	×			√	√		√		
31	Not Supportive of key elements	Collins Melody Financial Services													√	√	√	√	×	×	×	√		√	√		√		
8	Not Supportive of key elements	Cooper Reeves Accountants													√	√	√	√	×	×	-			√	√		√		
8(a)	Not Supportive of key elements	Cooper Reeves Accountants													√	√	√	√									√		
122	Not Supportive of key elements	Count Financial Limited		√	√									√	√	√	√	√	×	×	×	√		√	√	√	√	√	√
33	Not Supportive of key elements	Countplus MBT Pty Ltd													√	√	√	√	×	×	×			√			√		
139	Not Supportive of key elements	Cox Partners Accountants													√	√	√	√	×	×	×	√		√			√		
104	Not Supportive of key elements	Craig & Maundrell Financial Services													√	√	√	√	×	×	×			√			√		
94	Not Supportive of key elements	Crosby Dalwood Pty Ltd													√	√	√	√	×	×	×	√		√			√		

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154	Not Supportive of key elements	Deloitte		√	√	√		√		√	√		√		√	√		×	×	×	√						√
105	Not Supportive of key elements	Desiree Male											√	√	√				-	×	-	√	√	√		√	
131	Not Supportive of key elements	Downes Barrington Northside		√						√	√		√	√	√			×	×	×	√	√	√				
44	Not Supportive of key elements	Edwards Irvine and Facius Pty Ltd								√	√			√	√	√		×	×	×		√			√		
50	Not Supportive of key elements	Encompass Financial											√	√	√				-	×	×	√	√			√	
10	Not Supportive of key elements	Eric Walters FCPA		√	√					√	√			√	√			×	×	-		√	√		√		√
24	Not Supportive of key elements	Fawcett & Pickersgill								√	√			√	√	√		×	×	-	√	√			√		
62	Not Supportive of key elements	Ferguson Betts Pty Ltd								√	√			√				×	×	-							
134	Not Supportive of key elements	Financial Services Council	√	√	√			√	√			√	√	√	√	√		×	×	×	√	√	√		√	√	√
77	Not Supportive of key elements	Fortunity Wealth Management Pty Ltd								√	√			√	√	√		×	×	-	√	√			√		
25	Not Supportive of key elements	GC Accountants								√	√			√	√	√		×	×	×	√	√	√		√		
69	Not Supportive of key elements	Glenn & Herriot Pty Ltd								√	√			√	√	√		×	×	-	√	√			√		
9	Not Supportive of key elements	GNS Group Pty Ltd								√	√			√	√	√		×	×	×	√	√	√		√		
101	Not Supportive of key elements	Graeme Taylor CPA								√	√		√	√				×	×	×			√		√		
124	Not Supportive of key elements	Grant Thornton Australia Limited			√					√	√		√	√	√	√		×	×	×	√	√			√		√
142	Not Supportive of key elements	Hamilton Morello								√	√			√	√	√		×	×	-	√	√			√		√
87	Not Supportive of key elements	Hayes Girling Financial								√	√			√	√	√		×	×	×	√	√			√		
73	Not Supportive of key elements	Hern Financial Services - B. Hern								√	√		√	√	√	√		×	×	-	√				√		
72	Not Supportive of key elements	Hern Financial Services - M. Carberry								√	√		√	√	√	√		×	×	-	√				√		
95	Not Supportive of key elements	Hindson Hislop & Co								√	√			√	√	√		×	×	×	√	√	√		√		
39	Not Supportive of key elements	HMA Twomey Patterson - A. Pryor								√	√		√	√	√	√		×	×	×	√	√	√		√		
46	Not Supportive of key elements	HMA Twomey Patterson - D. Hodge								√	√			√	√	√		×	×	×	√	√			√		
38	Not Supportive of key elements	HMA Twomey Patterson - J. Officer								√	√			√	√	√		×	×	×	√	√			√		
67	Not Supportive of key elements	HMA Twomey Patterson - M. Woolnough								√	√		√	√	√	√		×	×	×	√		√		√		
51	Not Supportive of key elements	HMA Twomey Patterson Audit Pty Ltd - S. Watson								√	√		√	√	√	√		×	×	×	√				√		
163	Not Supportive of key elements	ICAA & CPA	√	√	√			√	√			√	√	√	√	√		×	×	×	√	√			√	√	√
109	Not Supportive of key elements	Institute of Public Accountants (IPA)								√	√			√	√	√		×	×	×	√				√		
96	Not Supportive of key elements	Integrity Wealth Pty Ltd								√	√		√	√	√	√		×	×	×	√	√	√		√		
112	Not Supportive of key elements	International Professional Services Pty Ltd - C. Reed								√	√		√	√	√	√		×	×	×	√	√			√		
111	Not Supportive of key elements	International Professional Services Pty Ltd - C. Tschirpzig								√	√		√	√	√	√		×	×	×	√	√			√		
119	Not Supportive of key elements	International Professional Services Pty Ltd - D. Ellis								√	√		√	√	√	√		×	×	×	√	√			√		
113	Not Supportive of key elements	International Professional Services Pty Ltd - D. Emmanuel								√	√		√	√	√	√		×	×	×	√	√			√		
118	Not Supportive of key elements	International Professional Services Pty Ltd - D. Kozul								√	√		√	√	√	√		×	×	×	√	√			√		
117	Not Supportive of key elements	International Professional Services Pty Ltd - D. Sidhu								√	√		√	√	√	√		×	×	×	√	√			√		
116	Not Supportive of key elements	International Professional Services Pty Ltd - J. Illingworth								√	√		√	√	√	√		×	×	×	√	√			√		
114	Not Supportive of key elements	International Professional Services Pty Ltd - M. Cronin								√	√		√	√	√	√		×	×	×	√	√			√		
110	Not Supportive of key elements	International Professional Services Pty Ltd - R. Stannard								√	√		√	√	√	√		×	×	×	√	√			√		
115	Not Supportive of key elements	International Professional Services Pty Ltd - S. Everingham								√	√		√	√	√	√		×	×	×	√	√			√		

