

Supportive of some key elements

Revised No.	Supportive / Supportive of some key elements / Not Supportive of key elements/Other	Respondent	Respondents' Comments on Specific Provisions of APES 230											CASE STUDIES EXAMPLES	1. General Comments	2. Align with FoFA reforms and other legislative safeguards/client's best interest	2. Affordability of Financial Advice	APES 230 Remuneration			6. Retrospectivity/grandfathering/transitional arrangements	7. Competitive Adv/Disadv	7. Refusal of membership renewal with professional bodies	8. Soft dollar benefits	9. Practical matters such as rebating commissions, dealer group issues, lack of support from Professional Bodies, etc	10. Business structures, Monitoring and enforcement	11. Editorial suggestions	
			1. Scope and application	2. Definitions	3. Fundamental responsibilities	4. Professional Independence	5. Terms of FPS	6. Basis for preparing and reporting	7. Client's Information, money and property	8. Professional fees	9. Third party payments	10. Documentation	11. Transitional Prov					3. Financial Advice	4. Insurance	5. Mortgage Broking								
49	Supportive of some key elements	Collins SBA							√	√				√	√	√	√	×	-	√	√	√		√	√			
132	Supportive of some key elements	Douglas Thomson								√					√	√	√	√	×	×			√		√			√
37	Supportive of some key elements	Evolution Business and Personal Advisers												√	√	√	√	√	×	×	√	√	√		√			
126	Supportive of some key elements	Excel Financial Advisors Pty Ltd													√	√	√	√	-	×			√					
125	Supportive of some key elements	Geoff Munday													√		√	√	×	-	√	√	√		√	√		
19	Supportive of some key elements	Hewison Private Wealth			√									√	√	√		×	-	-	-	√	√		√			√
89	Supportive of some key elements	IAC Robertson & Co													√	√	√	√	×	-	√	√			√			
74	Supportive of some key elements	Ian Smith												√	√	√	√	√	×	-	√	√	√		√			
7	Supportive of some key elements	Insight Private Wealth													√	√	√	×	√	√			√	√				
136	Supportive of some key elements	MLC & NAB Wealth (MLC)		√	√			√	√			√	√		√	√	√	√	×	×	√	√	√		√	√	√	√
61	Supportive of some key elements	Paradigm Strategic Planning													√	√		√	×	-			√	√		√		
16	Supportive of some key elements	Pitcher Partners			√		√	√	√	√				√	√			×	√	√	√	√	√					√
3	Supportive of some key elements	Wilkes Financial Pty Ltd												√	√			√	×	×			√	√				

* Some elements or the whole submission is confidential.